

# Prashant Pangoriya

B.E.(Ind.Electronics),M.B.A.

Member MCX

Sub Member: NSE, BSE

21-A, NEHRU NAGAR

AGRA, U.P.

282002

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## Client Registration Form (Business Rule - 27)

[THIS INFORMATION IS THE SOLE PROPERTY OF THE MEMBER AND WOULD NOT BE DISCLOSED TO ANYONE UNLESS REQUIRED BY LAW ]

### FOR OFFICE PURPOSES:

**CLIENT CODE :** .....  
(TO BE INSERTED BY THE  
TRADING FIRM)

**SALESMAN CODE :** .....  
(EMPLOYEE CODE /  
ASSIGNED BY THE TRADING FIRM)

**VERIFIED BY :** .....

**AUTHORISED BY :** .....

**Member's Name :** Prashant Pangoriya  
**Address :** 21-a, Nehru nagar,Agra-282002  
**Telephone No. :** 0562-4006463,09319114231

**CLIENT INFORMATION:**

PHOTOGRAPH  
Please sign on the  
photograph

Name of the Client : .....

(Surname) (Name) (Father's/Husband's Name)

Sex: Male / Female

Date of Birth: ..... (ddmmyyyy)

Age: ..... Years

Residential Address: .....

.....

City: .....

Pin Code: .....

State: .....

Country: .....

Nationality: .....

Telephone No. (Res.): .....

Fax No. (With Std Code): .....

Name of Employer: .....

Office Address: .....

Pin Code: .....

State: .....

Country: .....

Telephone No. (Office): .....

Fax No. (With Std Code): .....

Residential Status: Indian / NRI / Others  
Passport No: .....  
Occupation: .....  
Marital Status: Single / Married

**SPOUSE INFORMATION**

Spouse's Name: .....  
(Surname) (Name) (Middle Name)  
Date Of Birth: ..... (ddmmyyyy)  
Occupation: .....

**If Employed, Employer's Name / Self Employment:**

Details: .....  
Designation: .....  
Pan No: .....

**FINANCIAL DETAILS OF THE CLIENT:**

Pan No.: .....  
Income Range (Per Annum): Below Rs. 1 lakh  
Rs. 1 lakh To Rs. 2 lakh  
Rs. 2 lakh To Rs. 5 lakh  
Above Rs. 5 lakh  
(Strike Out Whichever Is Not Applicable)

**INVESTOR TYPE**

**Individual/Partnership Firm/Financial Institution/NBFC/Corporate**

**For Individual (If Employed):**

Employer's Name: .....  
Address: .....  
Designation: .....  
Working With The Present Employer Since: ..... Yrs:..... Mths

**For Individual (If Self Employed):**

Establishment Name:  
Address:  
Designation:  
Established Since:.....Yrs ..... Mths

**For Partnership Firm/Corporate/Bank/Financial Institution/NBFC:**

Name: .....  
 Exchange Registration No. (If applicable) .....  
 Total No. of Employees: .....  
 No. of Employees in the Back Office: .....  
 Networth of the Company: .....  
 No Of Directors of the Entity: .....

**Names And Addresses of the Directors of the Company**

| S. No. | Name | Address |
|--------|------|---------|
| 1      |      |         |
| 2      |      |         |
| 3      |      |         |
| 4      |      |         |

**Bank Account Details :**

Bank Name: (Multi Banks Then Give Details) .....  
 Branch: .....  
 Address: .....  
 Account No: .....  
 Account Type: Savings / Current /NRI  
 Telephone No.: .....  
 Fax No.: .....

**Trading Experience :**

..... Years In Commodities  
 ..... Years In Derivatives  
 ..... Years In Stocks  
 ..... Years In Any Other Investment Related Field  
 Whether Registered With Any Other Member:  
 Name of the Member: .....  
 Name of the Exchange: .....  
 Client Code No.: .....

**Collateral's Submitted to the Trading Firm:**

| S. No. | Collaterals        | Declared Value | %Haircut | Assigned Value |
|--------|--------------------|----------------|----------|----------------|
|        | Cash               |                |          |                |
|        | Warehouse Receipts |                |          |                |
|        | Bank Guarantees    |                |          |                |
|        | Immovable Property |                |          |                |
|        | Others (Specify)   |                |          |                |

## References

Client Introduced by : .....  
(Surname) (Name) (Father's/Husband's Name)

Introducing Client Code: .....

The details furnished by me/(name of the entity) are true to the best of my/(name of the entity) knowledge and belief. In case if any of the above information is found to be false or untrue then I am/(name of the entity) to be held liable for it.

(Signature of the Individual Client)

## In case Of Partnership Firm / Financial Institution / Corporates

**(Signature of the Directors of the Company Attested by the Company Seal.)**

### Personal Details of Partners/ Promoters / Directors:

1. Copy Of Income Tax Return
2. Salary Certificate
3. Proof Of Residence (Copy Of Passport/Ration Card/Driving Licence /Voters Identity Card)
4. Letter From The Banker Certifying The Account Number.

### A Copy of the Following (Only Relevant) may be taken:

1. Income Tax Statement for the Last 2 Financial Years
2. Copy of The Balance Sheet for the Last 2 Financial Years
3. Copy of The Partnership Deed in Case Of A Partnership Firm
4. Copy of The Board Of Directors' Resolution For Participation In Derivatives Trading.
5. Copy of The Valuers Certificate in Case Of Immovable Property.
6. In Case of an Individual, submit a copy of
  - Passport
  - Ration Card
  - 3 Photographs (As Per Requirements Of The Member)
  - Copy Of The Salary Slip Of The Client For The Last Month.
7. Memorandum And Articles Of Association.